

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED/ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

1454.1086/RAG

09/913487

INTERNATIONAL APPLICATION NO.  
PCT/E00/00348

INTERNATIONAL FILING DATE  
4 February 2000

PRIORITY DATE CLAIMED  
15 February 1999

TITLE OF INVENTION

METHOD AND SYSTEM FOR STORING AND ACCESSING AN OBJECT BY A COMPUTER

APPLICANT(S) FOR DO/EO/US

Hans-Georg BAUMGARTEN et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
2. ☒ This is an express request to immediately begin national examination procedures (35 U.S.C. 371(f)).
3. ☒ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
4. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
5. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
6. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
7. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
8. ☐ An oath or declaration of the inventor (35 U.S.C. 371(c)(4)).
9. ☐ A translation of the Annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 10-15 below concern document(s) or information included:

10. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
11. ☐ An assignment document for recording.
 

Please mail the recorded assignment document to:

  - a. ☐ the person whose signature, name & address appears at the bottom of this document.
  - b. ☐ the following:
12. ☒ A preliminary amendment.
13. ☒ A substitute specification
14. ☐ A change of power of attorney and/or address letter.
15. ☐ Other items or information:

☒ The U.S. National Fee (35 U.S.C. 371(c)(1)) and other fees as follows:

| CLAIMS | (1) FOR   | (2) NUMBER<br>FILED | (3) NUMBER<br>EXTRA | (4) RATE                    | (5) CALCULATIONS |
|--------|---|---------------------|---------------------|-----------------------------|------------------|
|        | TOTAL CLAIMS  | 14 -20=             | 0                   | x \$ 0.00                   | 0.00             |
|        | INDEPENDENT CLAIMS  | 5 -3=               | 2                   | x \$ 80.00                  | 160.00           |
|        | MULTIPLE DEPENDENT CLAIM(S) (if applicable)   |                     |                     | +\$270.00                   | 0.00             |
|        | BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4):<br>[ ] Neither international preliminary examination fee (37 CFR 1.482) nor<br>international search fee (37 CFR 1.445(a)(2)) paid to USPTO .....\$1,000<br>[X] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but International<br>Search Report prepared by the EPO or JPO.. .....\$ 860<br>[ ] International preliminary examination fee (37 C.F.R. 1.482) not paid to USPTO but international<br>search fee (37 C.F.R. 1.445(a)(2)) paid to USPTO.....\$ 710<br>[ ] International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>but all claims did not satisfy provision of PCT Article 33(1)-(4).....\$ 690<br>[ ] International preliminary examination fee paid to USPTO (37 CFR 1.482)<br>and all claims satisfied provisions of PCT Article 33(2) to (4) .....\$ 100 |                     |                     |                             | 860.00           |
|        | Surcharge of \$130 for furnishing the National fee or oath or declaration later than<br>[ ] 20 [ ] 30 mos. from the earliest claimed priority date (37 CFR 1.482(e)).   |                     |                     |                             | 0.00             |
|        |   |                     |                     | TOTAL OF ABOVE CALCULATIONS | 1020.00          |
|        | Reduction by 1/2 for filing by small entity, if applicable. Affidavit must be filed also. (Note 37 CFR 1.9, 1.27, 1.28.)  |                     |                     |                             |                  |
|        |   |                     |                     | SUBTOTAL                    | 1020.00          |
|        | Processing fee of \$130 for furnishing the English Translation later than<br>[ ] 20 [ ] 30 mos. from the earliest claimed priority date (37 CFR 1.482(f)).  |                     |                     |                             |                  |
|        |   |                     |                     | TOTAL NATIONAL FEE          | 1020.00          |
|        | Fee for recording the enclosed assignment (37 CFR 1.21(h)).   |                     |                     |                             | + .00            |
|        |   |                     |                     | TOTAL FEES ENCLOSED         | 1020.00          |

- a. ☒ A check in the amount of \$ 1020.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. 19-3935 in the Amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-3935. A duplicate copy of this sheet is enclosed.



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PATENT TRADEMARK OFFICE

8/15/01

DATE

*Richard A. Gollhofer*

Richard A. Gollhofer  
REGISTRATION NO. 31,106